

Holding of specified securities (Statement Showing Shareholding Pattern)

1. Name of Listed Entity : ALKALI METALS LIMITED
2. Scrip Code / Name of Scrip / Class of Security 533029 / ALKALI / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 30-Jun-2019
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment 30-Jun-2019
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of informat

| Particulars | Yes* | No* |
|--|------|-----|
| 1 Whether the Listed Entity has issued any partly paid up shares? | | NO |
| 2 Whether the Listed Entity has issued any Convertible Securities or Warrants? | | NO |
| 3 Whether the Listed Entity has any shares against which depository receipts are issued? | | NO |
| 4 Whether the Listed Entity has any shares in locked-in? | | NO |
| 5 Whether any shares held by promoters are pledge or otherwise encumbered? | YES | |

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

| Category (I) | Category of Shareholder (II) | Nos. of Shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|---|---|---|---|-------------|-------------------------|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | No of Voting Rights | | Total as a % of (A+B+C) | | | No.(a) | As a % of total Shares held (b) | No.(a) | As a % of total Shares held (b) | |
| | | | | | | | | Class eg: X | Class eg: Y | | | | | | | | |
| A. | PROMOTER & PROMOTER GROUP | 5 | 7090842 | 0 | 0 | 7090842 | 69.64 | 7090842 | 0 | 7090842 | 69.64 | 0 | 0 | 2130000 | 30.04 | 7090842 | |
| B. | PUBLIC | 9792 | 3091664 | 0 | 0 | 3091664 | 30.36 | 3091664 | 0 | 3091664 | 30.36 | 0 | 0 | 0 | 0 | 3090861 | |
| C. | NON PROMOTER-NON PUBLIC SHARES | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| C1. | UNDERLYING DRS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| C2. | SHARES HELD BY EMPLOYEE TRUSTS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| | Total | 9797 | 10182506 | 0 | 0 | 10182506 | 100 | 10182506 | 0 | 10182506 | 100 | 0 | 0 | 2130000 | 20.92 | 10181723 | |

Table II

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Category & Name of the Shareholders (I) | PAN (II) | Nos. of Shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV + V + VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2 | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|--|------------|---------------------------|--|---------------------------------------|---|--|---|---|---------|---------|-------------------------------------|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|--|
| | | | | | | | | No of Voting Rights | | | Total as a % of Total Voting rights | | | No.(a) | As a % of total Shares held (b) | No.(a) | As a % of total Shares held (b) | | |
| | | | | | | | | Class X | Class Y | Total | | | | | | | | | |
| 1. INDIAN | | | | | | | | | | | | | | | | | | | |
| a. Individuals/Hindu undivided Family | | 1 | 6904715 | 0 | 0 | 6904715 | 67.81 | 6904715 | 0 | 6904715 | 67.81 | 0 | 67.81 | 0 | 0 | 2130000 | 30.04 | 6904715 | |
| Names : | | | | | | | | | | | | | | | | | | | |
| YERRAMILI SRIRAMA VENKATA RAO | AASPY2205M | | 6904715 | | | 6904715 | 67.81 | 6904715 | 0 | 6904715 | 67.81 | | 67.81 | 0 | 0 | 2130000 | 30.04 | 6904715 | |
| b. Central Government/ State Government(s)/ Financial Institutions/ Banks | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| c. ANY OTHER | | | | | | | | | | | | | | | | | | | |
| d. Bodies Corporate | | 1 | 633 | 0 | 0 | 633 | 0.01 | 633 | 0 | 633 | 0.01 | 0 | 0.01 | 0 | 0 | 0 | 0 | 633 | |
| Names : | | | | | | | | | | | | | | | | | | | |
| CDC INDUSTRIAL INFRAS LTD | AAACC8191R | | 633 | | | 633 | 0.01 | 633 | 0 | 633 | 0.01 | | 0.01 | 0 | 0 | 0 | 0 | 633 | |
| Directors and their Relatives | | 3 | 185494 | 0 | 0 | 185494 | 1.82 | 185494 | 0 | 185494 | 1.82 | 0 | 1.82 | 0 | 0 | 0 | 0 | 185494 | |
| Names : | | | | | | | | | | | | | | | | | | | |
| YERRAMILI LALITHYA POORNA | AAYPY9942M | | 110000 | | | 110000 | 1.08 | 110000 | 0 | 110000 | 1.08 | | 1.08 | 0 | 0 | 0 | 0 | 110000 | |
| YERRAMILI SIVA RAMA KRISHNA RAO | AAKPY8706H | | 46500 | | | 46500 | 0.46 | 46500 | 0 | 46500 | 0.46 | | 0.46 | 0 | 0 | 0 | 0 | 46500 | |
| KRISHNAVENI YERRAMILI | AAJPY7956L | | 28994 | | | 28994 | 0.28 | 28994 | 0 | 28994 | 0.28 | | 0.28 | 0 | 0 | 0 | 0 | 28994 | |
| Sub-Total (A)(1) | | 5 | 7090842 | 0 | 0 | 7090842 | 69.64 | 7090842 | 0 | 7090842 | 69.64 | 0 | 69.64 | 0 | 0 | 2130000 | 30.04 | 7090842 | |
| 2. FOREIGN | | | | | | | | | | | | | | | | | | | |
| a. Individuals (Non-Resident Individuals/ Foreign Individuals) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| b. Government | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| c. Institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| d. Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| f. ANY OTHER | | | | | | | | | | | | | | | | | | | |
| Sub-Total (A)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 5 | 7090842 | 0 | 0 | 7090842 | 69.64 | 7090842 | 0 | 7090842 | 69.64 | 0 | 69.64 | 0 | 0 | 2130000 | 20.92 | 7090842 | |
| Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. | | | | | | | | | | | | | | | | | | | |

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

| 1. | Category & Name of the Shareholders (I) | PAN (II) | Nos. of Shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV + V + VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-------------|---|----------|---------------------------|--|---------------------------------------|---|--|---|--|---------|---------|--|--|-------------------------------------|---------------------------------|---|--|---|---------|
| | | | | | | | | | No of Voting Rights | | | | | Total as a % of Total Voting rights | No.(a) of total Shares held (b) | No.(a) (Not applicable) | As a % of total Shares held (Not applicable) (b) | | |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| 1. | INSTITUTIONS | | | | | | | | | | | | | | | | | | |
| a. | Mutual Funds/ | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b. | Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c. | Alternate Investment Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d. | Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e. | Foreign Portfolio Investor (Corporate) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| f. | Financial Institutions/ Banks | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| g. | Insurance Companies | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| h. | Provident Funds/ Pension Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| i. | ANY OTHER | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Market Maker | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Sub-Total (B)(1) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 a. | Central Government/ State Government(s)/ President of India | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Sub-Total (B)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. | NON-INSTITUTIONS | | | | | | | | | | | | | | | | | | |
| a. | INDIVIDUALS - | | | | | | | | | | | | | | | | | | |
| | I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | | 9367 | 2336183 | 0 | 0 | 2336183 | 22.94 | 2336183 | 0 | 2336183 | 22.94 | 0 | 0 | 0 | 0 | 0 | 0 | 2335400 |
| | II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | 8 | 320617 | 0 | 0 | 320617 | 3.15 | 320617 | 0 | 320617 | 3.15 | 0 | 0 | 0 | 0 | 0 | 0 | 320617 |
| b. | NBFCs registered with RBI | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c. | Employee Trusts | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d. | Overseas Depositories (holding DRs) (balancing figure) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e. | ANY OTHER | | 116 | 177635 | 0 | 0 | 177635 | 1.74 | 177635 | 0 | 177635 | 1.74 | 0 | 0 | 0 | 0 | 0 | 0 | 177635 |
| | BODIES CORPORATE | | 12 | 5608 | 0 | 0 | 5608 | 0.06 | 5608 | 0 | 5608 | 0.06 | 0 | 0 | 0 | 0 | 0 | 0 | 5608 |
| | Clearing Members | | 199 | 154961 | 0 | 0 | 154961 | 1.52 | 154961 | 0 | 154961 | 1.52 | 0 | 0 | 0 | 0 | 0 | 0 | 154961 |
| | HINDU UNDIVIDED FAMILIES | | 1 | 9784 | 0 | 0 | 9784 | 0.1 | 9784 | 0 | 9784 | 0.1 | 0 | 0 | 0 | 0 | 0 | 0 | 9784 |
| | IEPF | | 32 | 29307 | 0 | 0 | 29307 | 0.29 | 29307 | 0 | 29307 | 0.29 | 0 | 0 | 0 | 0 | 0 | 0 | 29307 |
| | NRI - Non- Repat | | 57 | 57569 | 0 | 0 | 57569 | 0.57 | 57569 | 0 | 57569 | 0.57 | 0 | 0 | 0 | 0 | 0 | 0 | 57569 |
| | NRI - Repat | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e. | Foreign Portfolio Investor (Individual) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Sub-Total (B)(3) | | 9792 | 3091664 | 0 | 0 | 3091664 | 30.36 | 3091664 | 0 | 3091664 | 30.36 | 0 | 0 | 0 | 0 | 0 | 0 | 3090881 |
| | Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 9792 | 3091664 | 0 | 0 | 3091664 | 30.36 | 3091664 | 0 | 3091664 | 30.36 | 0 | 0 | 0 | 0 | 0 | 0 | 3090881 |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| Category & Name of the Shareholders (I) | PAN (II) | Nos. of Shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV + V + VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) (Not applicable) |
|--|----------|---------------------------|--|---------------------------------------|---|--|---|---|---------|-------------------------------------|--|--|----------------------------------|---------------------------------|---|--|--|
| | | | | | | | | No. of Voting Rights | | Total as a % of Total Voting rights | | | No.(a) (Not applicable) | As a % of total Shares held (b) | No.(a) (Not applicable) | As a % of total Shares held (Not applicable) (b) | |
| | | | | | | | | Class X | Class Y | | | | | | | | |
| 1. Custodian/DR Holder | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table V

| Table V- Statement showing details of significant beneficial owners (SBOs) | | | | | | | | |
|--|------------------------|--|-------------|--------------------------------------|--|-------------|---|---|
| Sr. No | Details of the SBO (I) | | | Details of the registered owner (II) | | | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III) | Date of creation / acquisition of significant beneficial interest# (IV) |
| | NAME | PAN / Passport No. in case of a foreign national | Nationality | NAME | PAN / Passport No. in case of a foreign national | Nationality | Whether by virtue of: | |
| 1 | | | | | | | Shares | % |
| | | | | | | | Voting rights | % |
| | | | | | | | Rights on distributable dividend or any other distribution | % |
| | | | | | | | Exercise of control | |
| | | | | | | | Exercise of significant influence | |
| 2 | | | | | | | Shares | % |
| | | | | | | | Voting rights | % |
| | | | | | | | Rights on distributable dividend or any other distribution | % |
| | | | | | | | Exercise of control | |
| | | | | | | | Exercise of significant influence | |
| 3 | | | | | | | Shares | % |
| | | | | | | | Voting rights | % |
| | | | | | | | Rights on distributable dividend or any other distribution | % |
| | | | | | | | Exercise of control | |
| | | | | | | | Exercise of significant influence | |