

Annexure - I

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Holding of specified securities (Statement Showing Shareholding Pattern)

1. Name of Listed Entity : ALKALI METALS LIMITED
2. Scrip Code / Name of Scrip / Class of Security 533029 / ALKALI / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Dec-2017
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment 31-Dec-2017
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of informatior

| Particulars | Yes* | No* |
|--|------|-----|
| 1 Whether the Listed Entity has issued any partly paid up shares? | | NO |
| 2 Whether the Listed Entity has issued any Convertible Securities or Warrants? | | NO |
| 3 Whether the Listed Entity has any shares against which depository receipts are issued? | | NO |
| 4 Whether the Listed Entity has any shares in locked-in? | | NO |
| 5 Whether any shares held by promoters are pledge or otherwise encumbered? | YES | |

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

| Category (I) | Category of Shareholder (II) | Nos. of Shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|--------------|--------------------------------|----------------------------|--|--|---|---|---|---|-------------|----------|--|--|----------------------------------|---------|---|----------|---|---------------------------------|
| | | | | | | | | No of Voting Rights | | | | | Total as a % of (A+B+C) | No.(a) | As a % of total Shares held (b) | No.(a) | | As a % of total Shares held (b) |
| | | | | | | | | Class eg: X | Class eg: Y | Total | | | | | | | | |
| A. | PROMOTER & PROMOTER GROUP | 5 | 7100842 | 0 | 0 | 7100842 | 69.74 | 7100842 | 0 | 7100842 | 69.74 | 0 | 0 | 2130000 | 30 | 7100842 | | |
| B. | PUBLIC | 10446 | 3081664 | 0 | 0 | 3081664 | 30.26 | 3081664 | 0 | 3081664 | 30.26 | 0 | 0 | 0 | 0 | 3080581 | | |
| C. | NON PROMOTER-NON PUBLIC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| C1. | SHARES UNDERLYING DRS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| C2. | SHARES HELD BY EMPLOYEE TRUSTS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| | Total | 10451 | 10182506 | 0 | 0 | 10182506 | 100 | 10182506 | 0 | 10182506 | 100 | 0 | 0 | 2130000 | 20.92 | 10181423 | | |

Table II

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of Shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV + V + VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2 | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--|---|------------|---------------------------|--|---------------------------------------|---|--|---|---|----------|----------------|-------------------------------------|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | | No of Voting Rights | | | Total as a % of Total Voting rights | | | No.(a) | As a % of total Shares held (b) | No.(a) | As a % of total Shares held (b) | |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| 1. | INDIAN | | | | | | | | | | | | | | | | | | |
| a. | Individuals/Hindu undivided Family | | 1 | 6904715 | 0 | 0 | 6904715 | 67.81 | 6904715 | 0 | 6904715 | 67.81 | 0 | 0 | 2130000 | 30.85 | 6904715 | | |
| | Names : | | | | | | | | | | | | | | | | | | |
| | YERRAMILI SRIRAMA VENKATA RAO | AAAPY2205M | | 6904715 | | | 6904715 | 67.81 | 6904715 | 0 | 6904715 | 67.81 | 0 | 0 | 2130000 | 30.85 | 6904715 | | |
| b. | Central Government/ State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c. | Financial Institutions/ Banks | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d. | ANY OTHER | | | | | | | | | | | | | | | | | | |
| | Bodies Corporate | | 1 | 633 | 0 | 0 | 633 | 0.01 | 633 | 0 | 633 | 0.01 | 0 | 0 | 0 | 0 | 0 | 0 | 633 |
| | Names : | | | | | | | | | | | | | | | | | | |
| | CDC INDUSTRIAL INFRAS LTD | AAACC8191R | | 633 | | | 633 | 0.01 | 633 | 0 | 633 | 0.01 | 0 | 0 | 0 | 0 | 0 | 0 | 633 |
| | Directors and their Relatives | | 3 | 195494 | 0 | 0 | 195494 | 1.92 | 195494 | 0 | 195494 | 1.92 | 0 | 0 | 0 | 0 | 0 | 0 | 195494 |
| | Names : | | | | | | | | | | | | | | | | | | |
| | YERRAMILI LALITHYA POORNA | AAAPY9942M | | 110000 | | | 110000 | 1.08 | 110000 | 0 | 110000 | 1.08 | 0 | 0 | 0 | 0 | 0 | 0 | 110000 |
| | YERRAMILI SIVA RAMA KRISHNA RAO | AAKPY8706H | | 56500 | | | 56500 | 0.55 | 56500 | 0 | 56500 | 0.55 | 0 | 0 | 0 | 0 | 0 | 0 | 56500 |
| | KRISHNAVENI YERRAMILI | AAJPY7956L | | 28994 | | | 28994 | 0.28 | 28994 | 0 | 28994 | 0.28 | 0 | 0 | 0 | 0 | 0 | 0 | 28994 |
| | Sub-Total (A)(1) | | 5 | 7100842 | 0 | 0 | 7100842 | 69.74 | 7100842 | 0 | 7100842 | 69.74 | 0 | 0 | 2130000 | 30 | 7100842 | | |
| 2. | FOREIGN | | | | | | | | | | | | | | | | | | |
| a. | Individuals (Non-Resident Individuals/ Foreign Individuals) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b. | Government | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c. | Institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d. | Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| f. | ANY OTHER | | | | | | | | | | | | | | | | | | |
| | Sub-Total (A)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 5 | 7100842 | 0 | 0 | 7100842 | 69.74 | 7100842 | 0 | 7100842 | 69.74 | 0 | 0 | 2130000 | 20.92 | 7100842 | | |
| Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. | | | | | | | | | | | | | | | | | | | |

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

| Category & Name of the Shareholders (I) | PAN (II) | Nos. of Shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV + V + VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities IX) | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|---|----------|---------------------------|--|---------------------------------------|---|--|---|--|---------|---------|-------------------------------------|--|---|----------------------------------|---------------------------------|---|--|---|
| | | | | | | | | No of Voting Rights | | | Total as a % of Total Voting rights | | | No.(a) | As a % of total Shares held (b) | No.(a) (Not applicable) | As a % of total Shares held (Not applicable) (b) | |
| | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| 1. INSTITUTIONS | | | | | | | | | | | | | | | | | | |
| a. Mutual Funds/ | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b. Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c. Alternate Investment Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d. Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e. Foreign Portfolio Investors | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| f. Financial Institutions/ Banks | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| g. Insurance Companies | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| h. Provident Funds/ Pension Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| i. ANY OTHER | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Market Maker | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-Total (B)(1) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| a. Central Government/ State Government(s)/ President of India | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-Total (B)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. NON-INSTITUTIONS | | | | | | | | | | | | | | | | | | |
| a. INDIVIDUALS - | | | | | | | | | | | | | | | | | | |
| I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | | 9949 | 2286319 | 0 | 0 | 2286319 | 22.45 | 2286319 | 0 | 2286319 | 22.45 | 0 | 22.45 | 0 | 0 | 0 | 0 | 2285236 |
| II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | 4 | 273000 | 0 | 0 | 273000 | 2.68 | 273000 | 0 | 273000 | 2.68 | 0 | 2.68 | 0 | 0 | 0 | 0 | 273000 |
| b. NBFCs registered with RBI | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c. Employee Trusts | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d. Overseas Depositories (holding DRs) (balancing figure) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e. ANY OTHER | | 157 | 302936 | 0 | 0 | 302936 | 2.98 | 302936 | 0 | 302936 | 2.98 | 0 | 2.98 | 0 | 0 | 0 | 0 | 302936 |
| BODIES CORPORATE | | 39 | 22236 | 0 | 0 | 22236 | 0.22 | 22236 | 0 | 22236 | 0.22 | 0 | 0.22 | 0 | 0 | 0 | 0 | 22236 |
| Clearing Members | | 197 | 115775 | 0 | 0 | 115775 | 1.14 | 115775 | 0 | 115775 | 1.14 | 0 | 1.14 | 0 | 0 | 0 | 0 | 115775 |
| HINDU UNDIVIDED FAMILIES | | 29 | 24346 | 0 | 0 | 24346 | 0.24 | 24346 | 0 | 24346 | 0.24 | 0 | 0.24 | 0 | 0 | 0 | 0 | 24346 |
| NRI – Non- Repat | | 71 | 57052 | 0 | 0 | 57052 | 0.56 | 57052 | 0 | 57052 | 0.56 | 0 | 0.56 | 0 | 0 | 0 | 0 | 57052 |
| NRI – Repat | | | | | | | | | | | | | | | | | | |
| Sub-Total (B)(3) | | 10446 | 3081664 | 0 | 0 | 3081664 | 30.26 | 3082747 | 0 | 3082747 | 30.27 | 0 | 100 | 0 | 0 | 0 | 0 | 3080581 |
| Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3) | | 10446 | 3081664 | 0 | 0 | 3081664 | 30.26 | 3081664 | 0 | 3081664 | 30.26 | 0 | 30.26 | 0 | 0 | 0 | 0 | 3080581 |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of Shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV + V + VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) (Not applicable) |
|----|--|----------|---------------------------|--|---------------------------------------|---|--|---|---|---------|-------|-------------------------------------|--|---|----------------------------------|---------------------------------|---|--|--|
| | | | | | | | | | No of Voting Rights | | | Total as a % of Total Voting rights | | | No.(a) | As a % of total Shares held (b) | No.(a) (Not applicable) | As a % of total Shares held (Not applicable) (b) | |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| 1. | Custodian/DR Holder | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |